

Upcoming Audits

These are the audits next up on the SAO work plan. The first two potentially affect all agencies. The scope and objectives per their current web site are noted in brief below. You can find the full scope, objectives, and expected benefits [here](#). The SAO is continuously revising the timing and approach of some items on the work plan, so be aware that these audits may occur later in 2007 than originally planned. The scope and objectives are also subject to change.

1. State Agency Purchasing

- Original plan was to start November 2006; still in initial planning.
- Scope: All state agencies' expenses in fiscal year 2005 that do not meet a reasonableness test. Items that would not meet such a test include first-class airline tickets, meals that cost \$50 or more per person, luxury and/or personal items and items that could be purchased for significantly less money from another vendor with no decrease in quality.

2. Open Public Records

- Web site says start date is January 2007.
- Objectives: 1) Evaluate state agencies' compliance with public records laws, 2) Evaluate state agencies' timely response to public records requests, 3) Control costs charged to citizens for copies of public records.
- [Here](#) is a presentation of a similar audit underway in Oregon that used "secret shoppers" as part of their methodology.

3. L&I Pharmaceutical Payments

- Web site says start date is January 2007.
- Scope/objective: Pharmaceutical payments from July 2005 through December 2006. Determine whether the Department effectively reviews pharmaceutical payments on behalf of injured workers and pays only for those related to the injury.

Status Update of Current State Agency Audits

The SAO is conducting the fieldwork for the following performance audits.*

Collection of state debt

- DOR, ESD, L&I, CTED, DOT, ECY (DSHS was dropped)
- Conducted by SAO staff
- Draft report due mid-December

GA Motor Pool

- Primarily GA, but SAO is also evaluating other agencies that have GA-assigned vehicles
- Conducted by SAO staff
- Draft report due mid-December

DOH, Office of Health Professions Quality Assurance

- Governor-requested
- Conducted by contractors (Clifton Gunderson)
- Draft report due May 2007

DOT

- Four audits per ESSB 6839 (Admin/Overhead, Ferries, Project Mgmt/Inventory, Congestion/efficiency)
- Conducted by contractors (Ernst & Young, TKW)
- All are in fieldwork stage, except for Congestion. RFP proposals due Jan 2.
- Drafts due March through June 2007

*Other audits of non-state agencies (e.g., local governments, Port of Seattle, Sound Transit) are also underway.

Links

[GMAP Performance Audits](#)

The web page includes resources for employees such as the formal issue resolution process and templates for tracking data requests.

[State Auditor's Office performance audit website](#)

The [work plan](#) now has hyperlinks to initial scope, objectives, and expected benefits. The [weekly updates](#) include a timeline of progress on all of the audits currently underway.